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<u>REMARKS</u>

Claims 1-56 are pending in the application. Claims 24-26, 31-33, and 40 are amended. No new claims are added, and no claims are cancelled. In view of the following amendments and remarks, Applicant respectfully requests that this application be allowed and forwarded on to issuance.

Allowable Subject Matter

Claims 24-26, 31-33, and 40 have been objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims. Applicant thanks that Examiner for the indication of allowable subject matter and has rewritten claims 24-26, 31-33, and 40 in independent form. These claims remain in condition for allowance.

Claims 43-49 have been allowed. Again, Applicant thanks the Examiner for the indication of allowable subject matter. Claims 43-49 remain unchanged.

The § 112 Rejections

Claims 23 and 34 stand rejected under 35 U.S.C. § 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which Applicant regards as the invention. In making out this rejection, the Office states that there is insufficient antecedent basis for the feature "wherein none of said polygons share a vertex."

Claim 23 depends from claim 16. Claim 16 recites "[i]n a computer graphic processing system in which a ray is cast toward an object represented by a

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collection of pre-determined shapes, a method for determining which of the shapes are intersected by the ray, the method comprising [emphasis added]:

- defining a collection of polygons that approximate an object, individual polygons having a plurality of vertices;
- casting a ray toward the approximated object;
- defining a reference object relative to the collection of polygons and that contains the cast ray;
- pre-characterizing at least some vertices of at least some of the polygons to provide characteristic data that describes the vertices' positions relative to the reference object; and
- using the characteristic data to ascertain the positions of the individual polygons relative to the reference object."

Claim 23 recites "wherein none of said *polygons* share a *vertex*." Applicant respectfully submits that there is antecedent basis for all terms within claim 23 and therefore traverses the Office's rejection.

Claim 34 depends from claim 27. Claim 27 recites "[i]n a computer graphic processing system in which a ray is cast toward an object represented by a collection of pre-determined shapes, a method for determining which of the shapes are intersected by the ray, the method comprising [emphasis added]:

- defining a plurality of triangles that approximate an object, individual triangles having three vertices;
- casting a ray toward the approximated object;
- defining at least one plane relative to the approximated object to contain the ray;
- pre-characterizing the *vertices* of the plurality of *triangles* to provide characteristic data that describes the positions of the *vertices* relative to said at least one plane; and
- using the characteristic data to ascertain the positions of the individual triangles relative to said at least one plane."

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Claim 34 recites "wherein none of the triangles share any vertices."

Applicant respectfully submits that there is antecedent basis for all terms within claim 34 and therefore traverses the Office's rejections.

In its § 112 rejections of claims 23 and 34, the Office further states that "the claims languages are not following the specification languages." However, MPEP § 2173.05(e) states [emphasis added]:

There is no requirement that the words in the claim must match those used in the specification disclosure. Applicants are given a great deal of latitude in how they choose to define their invention so long as the terms and phrases used define the invention with a reasonable degree of clarity and precision.

Applicant simply does not understand this rejection and has attempted to address it in the previous response. It appears that the Office may be arguing that since the term "polygon" is broader than the term "triangle", and since the specification contains the statement "[o]ther collections can be defined where none of the triangles share a vertex", that somehow a claim that recites that the "polygons" do not share a vertex is somehow not supported by the specification or is, in some way, unclear. Applicant respectfully directs the Office's attention to page 10, lines 19-22 of the specification, which is reproduced below:

Other collections can be defined where none of the triangles share a vertex. Although triangles are depicted in the illustrated and described embodiment, it is to be understood that other shapes or polygons can be used to approximate an object. (Emphasis added).

Based on this passage alone, it is abundantly clear that the example utilized employs a polygon in the form of a triangle. Stated explicitly in the specification

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24 25 is the statement that although triangles are depicted, other polygons can be used. Applicant submits that there is nothing improper or unclear with respect to the above-identified claims.

Applicant respectfully submits that the claimed subject matter has been defined in such a clear and precise manner and is completely consistent with the guidance provided by MPEP § 2173.05(e). Applicant therefore traverses the § 112 rejections.

Claims 41-42 stand rejected under §112, second paragraph. Claims 41 and 42 depend from claim 37, which is a method claim.

Claim 41 recites one or more computer-readable media having computer-readable instructions thereon which, when executed by a computer, implement the method of claim 37. Claim 42 recites a programmable computer having a memory and a processor, the memory containing software code which causes the processor to execute the method of claim 37.

In making out the rejection of these claims, the Office states:

Claims 41-42 recite the limitation "computer graphic processing" and discloses in dependent claim 41 "one or more computer-readable media" and in dependent claim 42 discloses "a programmable computer." There is insufficient antecedent basis for this limitation in the claim. Applicant should be able to clarify the following information:

- 1 Is a "computer graphic processing system" just for graphic processing and not for non-graphic processing?
- 2 How many "one or more computer-readable media" should be considered in this invention?
- 3- What does a "programmable computer" do that the others "a computer graphic processing system" and "one or more computer-readable media" cannot process?

Applicant does not understand the rejections of claims 41 and 42 or the Office's questions. Applicant respectfully submits that there is antecedent basis for all terms of claims 41 and 42. Applicant can find nothing inappropriate about the recitations of these claims. As noted above, MPEP § 2173.05(e) states that "[a]pplicants are given a great deal of latitude in how they choose to define their invention so long as the terms and phrases used define the invention with a reasonable degree of clarity and precision." Applicant respectfully submits that the subject matter of these claims has been defined in such a clear and precise manner and therefore respectfully traverses the rejections.

In the interest of furthering prosecution of this case, however, Applicant will respectfully attempt to remedy any confusion the Office may have regarding these claims by answering the Office's questions below:

- 1 No, a computer graphic processing system is not necessarily just for graphic processing. Such system can include a general purpose system which has graphic processing capabilities.
- 2 One or more computer-readable media could be a single computer-readable medium or a plurality of computer-readable media. Additionally, there is nothing inappropriate with respect to this terminology. For example, a search of the PTO database of issued patents for the term "one or more computer readable media" appearing in issued claims resulted in 57 hits including U.S. Patent Nos. 6,592,200; 6,585,350; 6,584,505.
- 3 Preliminarily, Applicant does not understand the Office's question.

 This notwithstanding, claims 37, 41, and 42 are directed to subject matter in

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different statutory classes of subject matter as set forth in 35 U.S.C. §101. Specifically, claim 37 is a method claim. This method takes place in a computer graphic processing system as specified in the preamble. Claim 41 is directed to one or more computer-readable media having instructions to perform the method Examples of computer-readable media include RAM, ROM, of claim 37. diskettes, CD-ROMs, etc as set forth in the specification and particularly with respect to the discussion of Fig. 1. Although the method of claim 37 is implemented by the instructions that reside on the computer-readable media, the computer-readable media itself is what is claimed in claim 41. Claim 42 is directed to a programmable computer having memory that contains software code which causes a processor to execute the method of claim 37. programmable computer may include one or more computer-readable media as components, the programmable computer of claim 42 is recited to have a processor that executes the method of claim 37 using the software code that is contained in the recited memory. The programmable computer as a whole, having the memory, the software code and the processor, is what is claimed in claim 42.

Accordingly, Applicant respectfully submits that these claims are in proper format and are allowable as indicated above.

The §§ 102 and 103 Claim Rejections

Claims 1-9, 12-14, 16-20, 27-30, 35, 37, 50-54 and 56 stand rejected under 35 U.S.C. § 102(e) as being anticipated by U.S. Patent No. 6,226,005 to Laferriere.

Claims 10-11, 15, 36, 38-39 and 55 stand rejected under 35 U.S.C. § 103(a) as being unpatentable over Laferriere in view of U.S. Patent No. 6,111,582 to Jenkins.

Cla<u>ims 1-15</u>

Claim 1 recites a method for determining which shapes are intersected by a ray in a computer graphic processing system in which a ray is cast toward an object represented by a collection of pre-determined shapes each characterized by characteristic data. Accordingly, the method recites:

- defining a reference object relative to the represented object;
- determining the positions of the shapes relative to the reference object using the characteristic data; and
- determining, on the basis of the positions of the shapes relative to the reference object, those shapes that have no chance of intersecting the ray, and those remaining shapes that may intersect the ray.

The Office argues that the subject matter of this claim is anticipated by Laferriere. Applicant is unclear as to what the Office considers to be equivalent to Applicant's reference object; but, even assuming for argument's sake only that Laferriere does disclose a reference object as Applicant uses the term, Laferriere does not disclose the recited act of "determining, on the basis of the positions of the shapes relative to the reference object, those shapes that have no chance of intersecting the ray, and those remaining shapes that may intersect the ray." The Office argues that this feature is disclosed by Laferriere in Figs. 5a-5m. Applicant respectfully but strongly disagrees.

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Figs. 5a-5m of Laferriere disclose possible categories of intersection between a triangular polygon and a square texture pixel. It appears that Laferriere's methods as described in connection with these specific figures do not pertain to ray tracing at all. There is no disclosure or even a suggestion that Laferriere utilizes a method for "determining, on the basis of the positions of the shapes relative to the reference object, those shapes that have no chance of intersecting the ray, and those remaining shapes that may intersect the ray." Accordingly, for at least this reason, claim 1 is allowable.

Claims 2-15 depend from claim 1 and are allowable as depending from an allowable base claim. These claims are also allowable for their own recited features which, in combination with those recited in claim 1, are neither disclosed nor suggested in the references of record, either singly or in combination with one another. In addition, given the allowability of these claims, Jenkins is not seen to add anything of significance to the rejection of claims 10, 11, and 15.

Claims 16-23

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Claim 16 recites a method for determining which of a collection of predetermined shapes are intersected by a ray cast toward an object that is represented by the shapes. The method recites:

- defining a collection of polygons that approximate an object, individual polygons having a plurality of vertices;
- casting a ray toward the approximated object;
- defining a reference object relative to the collection of polygons and that contains the cast ray;
- pre-characterizing at least some vertices of at least some of the polygons to provide characteristic data that describes the vertices' positions relative to the reference object; and

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 using the characteristic data to ascertain the positions of the individual polygons relative to the reference object.

In making out the rejection of this claim, the Office apparently argues that the recited act of "defining a reference object relative to the collection of polygons and that contains the cast ray" is disclosed by Laferriere in column 3, lines 18-21. That excerpt is set forth below:

(iii) for each determined area of intersection [between the polygon and a square texture pixel], determining the product of illumination information at said determined location of intersection and the weight of said area of intersection

There is not even any *mention* of a ray within the excerpt cited by the Office. Therefore, there appears to be no disclosure or even suggestion that Laferriere defines "a reference object relative to the collection of polygons and that *contains the cast ray*", as that term is used in the claim. Accordingly, for at least this reason, claim 16 is allowable.

Claims 17-23 depend from claim 16 and are allowable as depending from an allowable base claim. These claims are also allowable for their own recited features which, in combination with those recited in claim 16, are neither disclosed nor suggested by the references of record, either singly or in combination with one another.

Claims 27-30 and 34-36

Claim 27 recites a method for determining which of a number of shapes that represent an object are intersected by a ray that is cast toward the object. The method recites:

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- defining a plurality of triangles that approximate an object, individual triangles having three vertices;
- casting a ray toward the approximated object;

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- defining at least one plane relative to the approximated object to contain the ray;
- pre-characterizing the vertices of the plurality of triangles to provide characteristic data that describes the positions of the vertices relative to said at least one plane; and
- using the characteristic data to ascertain the positions of the individual triangles relative to said at least one plane.

In making out the rejection of this claim, the Office apparently argues that the recited act of "defining at least one plane relative to the approximated object to contain the ray" is disclosed by Laferriere in column 3, lines 18-21. That excerpt is set forth below:

(iii) for each determined area of intersection [between the polygon and a square texture pixel], determining the product of illumination information at said determined location of intersection and the weight of said area of intersection

Again, there is no *mention* of a ray within this excerpt. Therefore, there appears to be no disclosure or even suggestion that Laferriere defines "at least *one* plane relative to the approximated object to contain the ray." Accordingly, for at least this reason, claim 27 is allowable.

Claims 28-30 and 34-36 depend from claim 27 and are allowable as depending from an allowable base claim. These claims are also allowable for their own recited features which, in combination with those recited in claim 27, are neither disclosed nor suggested by the references of record, either singly or in combination with one another. Additionally, given the allowability of claim 36,

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the rejection over the combination of Laferriere and Jenkins is not seen to add anything of significance.

Claims 37-39 and 41-42

Claim 37 recites a method for determining which of a number of polygons that represent an object are intersected by a ray that is cast at the object. The method recites:

- defining a sub-set of polygons from a collection of polygons that approximate an object by determining which polygons have vertices that satisfy a predefined relationship relative to a reference object;
- evaluating the sub-set of polygons to ascertain which of the polygons is intersected by a cast ray.

In making out the rejection of this claim, the Office apparently argues that the recited act of "evaluating the sub-set of polygons to ascertain which of the polygons is intersected by a cast ray" is disclosed by Laferriere in column 3, lines 18-21. That excerpt discloses determining the intersection between a triangular polygon and a square texture pixel. A cast ray is not even disclosed within the excerpt. Therefore, there appears to be no disclosure or even suggestion that Laferriere evaluates a sub-set of polygons to ascertain which of the polygons is intersected by a cast ray." Accordingly, for at least this reason, claim 37 is allowable.

Claims 38-39 and 41-42 depend from claim 37 and are allowable as depending from an allowable base claim. These claims are also allowable for their

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24 25 own recited features which, in combination with those recited in claim 37, are neither disclosed nor suggested by the references of record, either singly or in

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Claims 50-56

combination with one another.

Claim 50 recites a computer graphic processing system comprising:

- a processor;
- memory; and
- software code stored in the memory that causes the processor to implement a ray-intersection algorithm which:
 - o casts a ray at a collection of shapes that approximate an object:
 - o defines a reference object that contains the ray;
 - o pre-characterizes aspects of individual ones of the shapes of the collection to provide characteristic data; and
 - o uses the characteristic data to ascertain the position of the shapes of the collection of shapes relative to the reference object.

In making out the rejection of this claim, the Office apparently argues that the recited act that "defines a reference object that contains the ray" is disclosed by Laferriere in column 3, lines 18-21.

However, there appears to be no disclosure or even suggestion that Laferriere "defines a reference object that contains the ray." In part, this is because Laferriere makes no mention of any ray whatsoever within the excerpt cited by the Office. Accordingly, for at least this reason, claim 50 is allowable.

Claims 51-56 depend from claim 50 and are allowable as depending from an allowable base claim. These claims are also allowable for their own recited features which, in combination with those recited in claim 50, are neither disclosed

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23 24 25 nor suggested by the references of record, either singly or in combination with one another. In addition, given the allowability of these claims, the rejection of claim 55 over the combination with Jenkins is not seen to add anything of significance.

Conclusion

Applicant has studied the references cited by the Office and has sincerely attempted to describe how the claimed subject matter patentably distinguishes over these references. Applicant submits that all of the claims are in condition for allowance and respectfully requests that the Office withdraw the finality of the present office action and pass the application along to issuance. If the Office's next anticipated action is to be anything other than issuance of a Notice of Allowability, Applicant respectfully requests a telephone call for the purpose of scheduling an interview.

Respectfully Submitted,

Dated: 9/22/03

Lapce R. Sadler Reg. No. 38,605 (509) 324-9256

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